

Wilson-Davis & Company-**WD** INVESTMENTS

236 So. Main St. - Salt Lake City, UT 84101 or PO Box 11587 Salt Lake City, Utah 84147

Member: Securities Investor Protection Corporation **SiPC** Member: Financial Industry Regulatory Authority (FINRA)

STATEMENT OF FINANCIAL CONDITION

December 31, 2023

(unaudited)

ASSETS

Current Assets:

Cash	\$ 30,528,339
Receivable from Customers	148,601
Receivable from Broker/Dealers	5,591,953
Securities Owned at Market Values	<u>464</u>

\$ 36,269,357

Other Assets:

Furniture & Equipment (less depreciation)	25,169
Other	<u>768,457</u>

\$ 793,626

TOTAL ASSETS

\$ 37,062,983

LIABILITIES & STOCKHOLDER'S EQUITY

Liabilities:

Payable to Customers & Officers	\$ 24,091,910
Payable to Broker/Dealers	29,046
Securities sold not yet purchased	-
Other	<u>1,332,367</u>

\$ 25,453,323

Subordinate Loans

1,950,000

Stockholder's Equity

9,659,660

TOTAL LIABILITIES & EQUITY

\$ 37,062,983

Notes to Financial Statement

1. CASH RESERVE REQUIREMENT

Pursuant to the provisions of Rule 15c3-3 of the Securities Exchange Act of 1934, the Company is required to maintain a minimum cash balance in a separate account, as defined under such provisions. The cash balance as of December 31, 2023 was \$21,746,235. The Company had a reserve requirement at December 31, 2023 of \$21,667,553. A timely withdrawal of \$78,682 was made giving an excess of \$290,882.

2. NET CAPITAL

The Company is subject to the uniform net capital rule adopted and administered by the Securities and Exchange Commission. The rule requires maintenance of minimum net capital and prohibits a broker dealer from engaging in securities transactions at a time when its net capital falls below minimum requirements, as those terms are defined by the rule. At December 31, 2023, the Company's net capital was \$10,882,713 which was \$10,632,713 in excess of the minimum requirement.