

WILSON-DAVIS & CO., INC.
 CUSTOMER FEE SCHEDULE
 AS OF July 20, 2018

| | |
|--|---------------------------------|
| • Customer Account Transfers | |
| ○ Full ACATS | \$100 |
| ▪ Plus closing IRA fees if applicable | |
| ○ Partial ACATS | \$75 |
| ○ Delivery Orders | \$50 |
| • Wire Fees | |
| ○ Domestic | \$45 |
| ○ International | \$100 |
| ○ Wire trace fee | \$50 |
| • Early Pay for Accounts | 3% minimum \$30 |
| • Ticket Charges | \$30 |
| • Block Trading Fee | |
| • Trading Activity Fee | |
| • Corporate Actions | \$20 |
| • Inactivity fee | \$50 |
| • Federal Express Delivery/United Parcel (Each way) | |
| ○ Regular | \$40 |
| ○ 2 nd Day | \$25 |
| ○ International | \$60 |
| ○ Saturday/First Overnight | \$150 |
| • LOA's | \$25 |
| • DRS | \$150 |
| ○ Transfer agent fees may also apply | |
| ○ DRS reject fee | \$100 |
| • DWAC | \$225 |
| ○ Transfer agent fees may also apply | |
| • Withdrawal by transfer (Physical certs) | |
| ○ Regular | \$600 |
| ○ Rush | \$1500 |
| • DTC deposit fee (Physical CERT) | \$80 per certificate |
| • DTC deposit fee (WILCO NAME) | \$80 per certificate |
| • Certificate Deposit Legal Vetting Fee | |
| ○ DTC Eligible | \$850 per legal review |
| ○ DTC Eligible/Rush | \$1050 per review (If Accepted) |
| ○ Deposit Rejects | \$150 per deposit |
| • Certificate Transfers | |
| ○ Transfer fees will be passed on to the customer or correspondent and vary among transfer agents (Federal Express Fees may apply) plus 2% transfer fee minimum \$25 | |
| • Return Check fee | \$50 |
| • Stop Check fee | \$30 |
| • Return Wire fee | \$25 |
| • Cleared Stock Withdrawal fee | 3% value of the transfer |
| • Paper statement fee | \$10 |