

# WILSON-DAVIS & CO., INC.

## CUSTOMER FEE SCHEDULE

As of March 22, 2018

- **Customer Account Transfers**
  - Full ACATS (*Plus closing IRA fees if applicable*) ..... \$100
  - Partial ACATS ..... \$75
  - Delivery Orders ..... \$50
- **Wire Fees**
  - Domestic ..... \$45
  - International ..... \$100
  - Wire Trace Fee ..... \$50
- **Early Pay for Accounts** ..... 3% minimum \$30
- **Ticket Charges** ..... \$30
- **Block Trading Fee**
- **Trading Activity Fee**
- **Corporate Actions** ..... \$20
- **Inactivity Fee** ..... \$50
- **Federal Express Delivery/United Parcel (each way)**
  - Regular ..... \$40
  - 2<sup>nd</sup> Day ..... \$25
  - International ..... \$60
  - Saturday/First Overnight ..... \$85
- **LOA's** ..... \$25
- **DRS (Transfer Agent fees may also apply)** ..... \$150
  - DRS Reject Fee ..... \$100
- **DWAC (Transfer Agent fees may also apply)** ..... \$225
- **Withdrawal by Transfer (physical certs)**
  - Regular ..... \$600
  - Rush ..... \$1500
- **DTC Deposit Fee (Physical Cert)** ..... \$80 per certificate
- **DTC Deposit Fee (WILCO name)** ..... \$80 per certificate
- **Certificate Deposit/Clearance Legal Vetting Fee**
  - DTC Eligible ..... \$850 per legal review
  - DTC Eligible/Rush ..... \$1050 per legal review
  - DTC Not CNS Eligible ..... \$1050 per legal review
  - Deposit Rejects ..... \$150 per deposit
  - *Sub-penny securities may incur higher vetting fees*
- **Certificate Transfers**
  - Transfer fees will be passed on to the customer or correspondent and vary among transfer agents (*Federal Express Fees may apply*) plus 2% ..... minimum \$25
- **Return Check Fee** ..... \$50
- **Stock Check Fee** ..... \$30
- **Return Wire Fee** ..... \$25
- **Cleared Stock Withdrawal Fee** ..... 3% value of the transfer
- **Paper Statement Fee** ..... \$10